

DRAFT-FY27 TRANSPORTATION PLANNING WORK PROGRAM

RPA 15 REGIONAL PLANNING AFFILIATION

Serving the following Iowa Counties:
Jefferson, Keokuk, Mahaska, Van Buren,
and Wapello

This plan was developed in coordination with the
Area 15 Regional Planning Commission, Ottumwa,
Iowa

The preparation of this document was financed in part through
federal funds provided by the US Department of
Transportation, Federal Highway Administration, and Federal
Transit Administration.

May 2026

A RESOLUTION ADOPTING THE FY 2027 TRANSPORTATION PLANNING WORK PROGRAM
AS THE OFFICIAL PLANNING WORK PROGRAM FOR THE AREA 15 REGIONAL PLANNING
AFFILIATION

WHEREAS, the Area 15 Regional Planning Commission did prepare a Transportation Planning Work Program that identifies the specific work program for the AREA 15 REGIONAL PLANNING AFFILIATION (RPA 15); and

WHEREAS, it is a requirement of the Iowa Department of Transportation, pursuant to the Infrastructure Investment and Jobs Act (IIJA Act) of 2021 that all Regional Planning Affiliations prepare a Transportation Planning Work Program to serve as a guide for planning, development, and implementation of programs;

NOW, THEREFORE, BE IT RESOLVED that the AREA 15 REGIONAL PLANNING AFFILIATION adopts the Area 15 Transportation Planning Work Program for FY 2027 as its official planning work program.

Passed this ___ day of _____ 2026.

Chairperson
Area 15 Regional Planning Affiliation

RPA 15 TRANSPORTATION PLANNING WORK PROGRAM (TPWP)

FY26 TRANSPORTATION PLANNING WORK PROGRAM

I. PURPOSE OF A TRANSPORTATION PLANNING WORK PROGRAM

The Transportation Planning Work Program or TPWP is a document that provides a description of transportation planning activities for the upcoming fiscal year. These activities are determined by local, state, and federal input. Activities are listed in the TPWP under one of the five standard planning categories, which include:

- Transportation Planning Work Program (TPWP)
- Public Participation Process (PPP)
- Transportation Improvement Program (TIP)
- Long-Range Transportation Plan (LRTP)
- Passenger Transportation Plan (PTP)
- Administration

The TPWP contains a description of each activity under the planning category that it is a part of. This description includes an estimate of the time involved in completing the activity and cost, milestones towards completion, and expected product. The TPWP aids in the distribution of federal and state funds used for transportation planning and provides a tool for measuring the progress of transportation planning activities towards local, state, or federal goals.

RPA 15 covers a five-county region in southeast Iowa that includes Jefferson, Mahaska, Wapello, Keokuk, and Van Buren counties. Based in Ottumwa, the RPA works with local governments, transit providers, and the Iowa DOT to coordinate transportation planning across the region. Its responsibilities include developing required planning documents like the Transportation Planning Work Program (TPWP), Long-Range Transportation Plan (LRTP), and Transportation Improvement Program (TIP). RPA 15 helps guide transportation projects and investments to support the region's mobility, safety, and economic development goals.

II. DESCRIPTION OF TPWP DEVELOPMENT PROCESS

The Area 15 Regional Planning Commission developed the FY27 TPWP for RPA 15. The TPWP follows the Iowa Department of Transportation's "Transportation Planning Work Program Requirements and the RPA 15 Public Participation Process. Activities included in the work program are identified through: IDOT requirements, solicitation of member jurisdictions, technical committee and policy board, and requests for assistance from member governments. The budget of the annual work

program is based on a review of past years' time and efforts, and any new projects for the upcoming fiscal year.

Upon completion of the draft TPWP, it is then forwarded to Iowa DOT and FTA review and comment. Any suggested changes and recommendations are considered and included in the plan. Upon receiving comment, the TPWP is revised and then made available for public review and comment. After the public comment period, and after a public hearing, the RPA 15 Policy Board reviews any comments received and approves the document. Upon approval by the Policy Board, the final TPWP is sent to Iowa DOT.

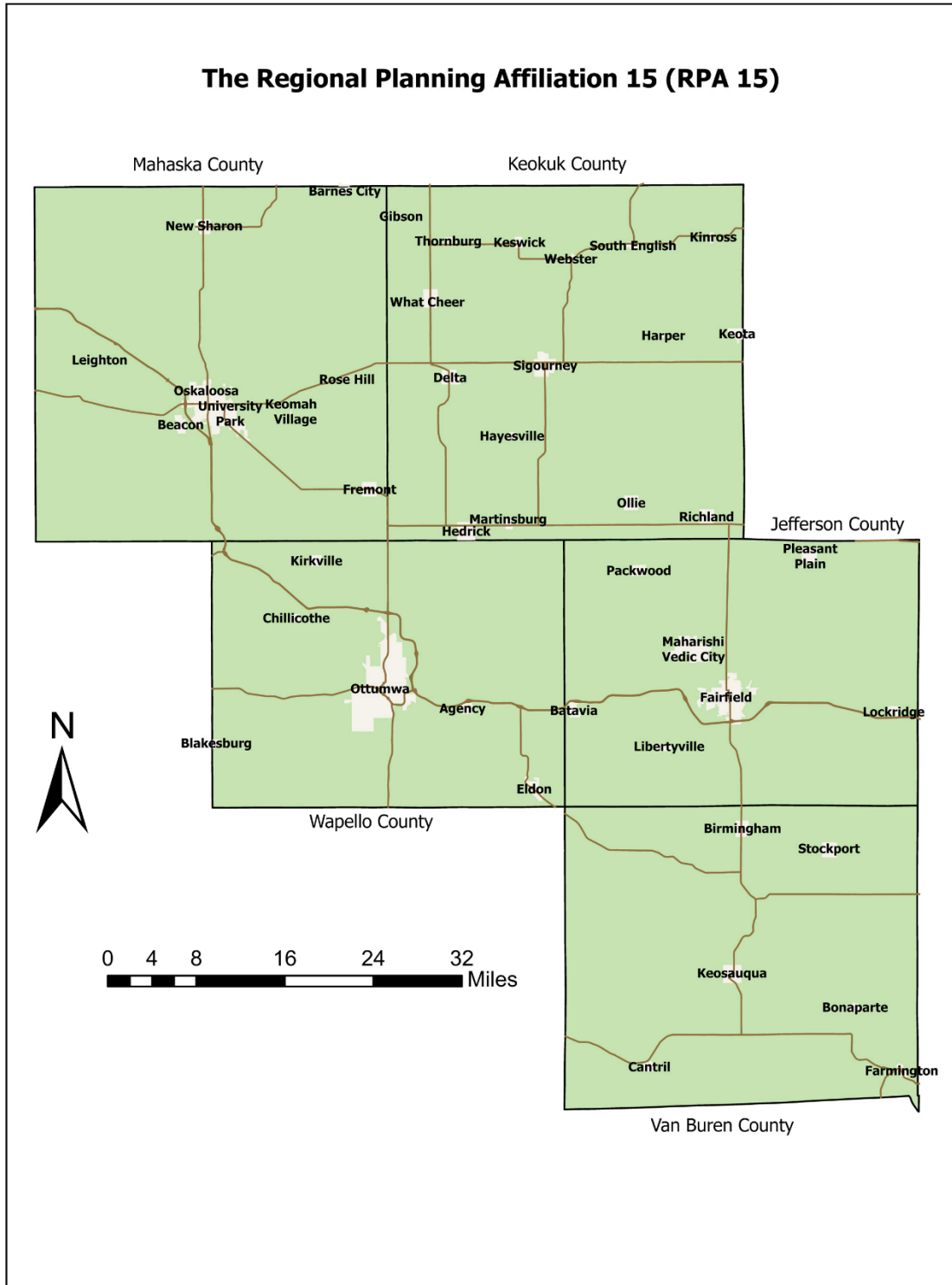
III. MAJOR TRANSPORTATION CHALLENGES/PRIORITIES

A multimodal transportation system is necessary to maintain and improve the livability and economic well-being of the region. Funds available for transportation needs are limited on the local, state, and federal levels. Because of this it is important that funds are used in a cost-effective manner. Planning ensures that transportation funds are spent efficiently and where most needed. Activities described in the TPWP under the categories of PPP, TIP, LRTP, and PTP are directed towards accomplishing this. These activities identify issues or needs with the transportation system, develop projects to address the identified needs, and recommend funding mechanisms.

For the upcoming year the following major transportation challenges/priorities have been identified:

- 1. Updating the Long-Range Transportation Plan:** The RPA completed its Long-Range Transportation Plan in 2024; this document has a 20-year horizon and will be updated in five years. Identifies goals for the region, and specific projects and improvements for the short-term (5 years). The RPA will work to develop tools to monitor the implementation of the LRTP. This will focus on tracking the status of projects and improvements recommended in the plan and creating visualizations to show progress.
- 2. Providing Transportation Planning Assistance to Members:** The RPA 15 covers five counties and forty-six communities. The RPA will continue to provide services to member jurisdictions as available and requested, this includes on-going assistance from the previous year and new projects that may be identified during the upcoming year. Assistance may include research, data gathering, mapping, grant writing, involvement and/or support by local/regional transportation groups.
- 3. Reviewing Public Involvement:** RPA is focused on enhancing public involvement by developing materials designed to onboard new Technical Committee and Policy Board members. These materials offer a comprehensive overview of the RPA, its mission, and the key documents guiding the organization's work. Additionally, the materials clarify the role and responsibilities of each committee and board member, ensuring they understand their contributions to the RPA's objectives.

IV. RPA 15 PLANNING AREA



V. MEMBERSHIP – RPA 15

Policy Board – Voting Members

Name	Title	County, City Or Agency Represented
Steve Wanders	County Supervisor	Mahaska County
Bryan Ziegler	County Supervisor/ Vice Chair	Wapello County
Lee Dimmit	County Supervisor	Jefferson County
Dale House	County Supervisor	Van Buren County
Ben Foote	Mayor	City of Ottumwa
Shawn Metcalf	City Manager	City of Oskaloosa
OPEN	Elected Official	City in Van Buren County
Daryl Wood	County Supervisor/ Chair	Keokuk County
OPEN	Mayor	City of Sigourney
Doug Reinhert	City Administrator	City of Fairfield

Transportation Advisory Committee (TAC) – Voting Members

Name	Title	County, City Or Agency Represented
Brad Skinner	County Engineer	Wapello County
Jay Allison	Transit Administrator	10-15 Regional Transit
Ryne Thornburg	County Engineer	Van Buren County
DeWayne Heintz	County Engineer/ Chair	Jefferson County
Andrew McGuire	County Engineer	Keokuk County
Sean Murphy	Deputy Public Works Director	City of Oskaloosa
Andrew McGuire	County Engineer	Mahaska County
Phillip Burgmeier	City Engineer	City of Ottumwa
OPEN	Appointed Representative	Keokuk County
Shawn Morrissey	Conservation Director	Jefferson County
Chris Clingan	Conservation Director	Mahaska County
Rick Tebbs	Conservation Director	Wapello County
Ashley Utt	Regional Representative	Pathfinders RC&D
Melanie Carlson	City Engineer/ Vice Chair	City of Fairfield
Richard Daugherty	Conservation Director	Van Buren County

Other – Non Voting

Name	Title	County, City Or Agency Represented
Chris Kukla	District Planner	Iowa DOT
Chris Bowers	Executive Director	Area 15 Regional Planning
Hector Hernandez	Transportation Planner	Area 15 Regional Planning
Brandon Dicks	Regional Planner	Area 15 Regional Planning
Matt Naumann	Program Coordinator	Area 15 Regional Planning

VI. PLANNING ACTIVITY/WORK ELEMENT

1. Administration

- a. **Task Objective.** To perform the general accounting activities for the RPA for FY27 and prepare a budget for FY28.
- b. **Previous Work.**
 - 1) Accounting for the RPA for FY26.
 - 2) Development of the FY27 RPA Budget.
- c. **Project Description.** Administration will be from July 1, 2026, to June 30, 2027. This activity is expected to take approximately 237 person hours and to cost \$13,981.50. The Elements of Administration will be performed by the executive director and program coordinator and include general accounting, bi-weekly payrolls, monthly revenue and expense accounting, budgeting, quarterly expense reporting.
- d. **Product.**
 - 1) FY27 Accounting.
 - 2) FY28 Budget.
- e. **Completion Date.** The FY27 Administration will be completed by June 30th, 2027.

Position	Hours	Pct Allocation
Executive Director	161	68%
Transportation Planner	24	10%
Regional Planner	0	0%
Program Coordinator	52	22%
Administration Total	237	100%

2. Transportation Planning Work Program FY27

- a. **Task Objective.** To report on annual transportation work program activities for FY27 and prepare the FY28 TPWP.
- b. **Previous Work.**
 - 3) Implementation of the FY26 TPWP.
 - 4) Quarterly Reports.
 - 5) Development of the FY27 TPWP.
- c. **Project Description.** The Transportation Planning Work Program (TPWP) for FY27 will be from July 1, 2026, to June 30, 2027. This activity is expected to take approximately 184 person hours. The total cost for this activity is \$10,874.50. and will include preparing FY27 quarterly reports and the FY28 TPWP. Quarterly reports and the FY28 TPWP will be prepared by the executive director and transportation director. A draft TPWP will be

prepared and submitted to Iowa DOT for review. Upon receiving comments from Iowa DOT and FHWA, the TPWP will be revised prior to being submitted for final approval.

d. **Product.**

- 3) FY28 TPWP.
- 4) Quarterly Reports.
- 5) Quarterly Pay Requests.

e. **Completion Date.** The draft TPWP will be completed by March 31st, 2027, and the final TPWP will be completed May 31st, 2027.

Position	Hours	Pct Allocation
Executive Director	37	20%
Transportation Planner	147	80%
Regional Planner	0	0%
Program Coordinator	0	0%
TPWP Total	184	100%

3. **Public Participation Process (PPP)**

a. **Task Objective.** To implement the FY27 Public Participation Process.

b. **Previous Work.**

- 1) Facilitated 3 TAC and 3 Policy Board meetings in FY26.
- 2) Updated Title VI program
- 3) Reviewed Public Participation Plan

c. **Project Description.** The FY26 Public Participation Process will be from July 1, 2026, to June 30, 2027. This activity is expected to take approximately 685 person hours. The total cost for this activity is \$40,391.00. Elements of the Public Participation Process will be performed by the executive director, transportation planner, and program coordinator. Activities will address the challenges and priorities identified in section III related to [reviewing public involvement](#) and include the following:

- 1) Review and evaluate the performance of the past year's effort and the Public Participation Process, and implementation of the Process.
- 2) Complete update of Public Participation Plan
- 3) Set up Technical Advisory Committee and Policy Board meetings and public hearings; mail agendas to the committee(s) and Board and provide notices of public hearings.
- 4) Act as Secretary for the TAC and Policy Board, prepare minutes at all meetings and public hearings.
- 5) Provide technical assistance to the TAC, Policy Board, RPA members/stakeholders, and public.

- 6) Involve all segments of the population (e.g. minority, elderly, low-income).
 - 7) Provide translated copies of RPA transportation planning documents on the website as described in the Limited English Proficiency Plan.
 - 8) Attend IDOT training meetings as available.
 - 9) Publish and distribute transportation planning articles in the newsletter and distribute it region-wide on a quarterly basis.
- d. **Product.**
- 1) FY27 Public Participation Process.
 - 2) Public Participation Plan Outline
- e. **Completion Date.**
- 1) The FY27 Public Participation Process will be completed by June 30th, 2027.
 - 2) The Public Participation Plan will be completed by September 30th, 2027 (FY28).

Position	Hours	Pct Allocation
Executive Director	48	7%
Transportation Planner	610	89%
Regional Planner	0	0%
Program Coordinator	27	4%
PPP Total	685	100%

4. Transportation Improvement Program (TIP)

- a. **Task Objective.** To prepare, develop and monitor Transportation Improvement Program.
- b. **Previous Work.**
 - 1) Maintenance of the FY26-29 TIP.
 - 2) TIP Revisions.
 - 3) Development and maintenance of the FY27-30 TIP.
 - 4) Virtual meetings on how to apply for STBG/TAP
- c. **Project Description.** Development and management of the Transportation Improvement Program (TIP) will be from July 1, 2026, to June 30, 2027. This activity is expected to take approximately 211 person hours to complete. The total cost for completing the TIP is \$12,428.00.

The FY27-30 TIP will be maintained by the transportation planner working with the TAC to identify necessary revisions. The FY28-31 TIP document will be prepared by the transportation planner with assistance from the TAC and will include all STBG/SWAP, NHS, Bridge, Transit, and Transportation Alternative projects and requested funding dollars. The TIP will also include all elements required by the Iowa Department of Transportation's Guidelines for Development – Transportation Improvement Programs and the Iowa Statewide Transportation Improvement Program.

Elements of this activity will include:

- 1) Updating and maintaining STBG/SWAP and TAP applications.

- 2) Making STBG/SWAP and TAP applications available, receiving completed applications, and answering questions.
- 3) Reviewing applications for eligibility.
- 4) Preparing the regional Transportation Improvement Program.
- 5) Maintaining the balance of funds STBG/SWAP and TAP funds available.
- 6) Monitoring the status of last year’s funded STBG/SWAP and TAP projects.
- 7) Providing assistance for TIP revisions.
- 8) Create a How to apply for STBG/TAP (Handout) for communities/counties
- 9) Virtual meeting on how to apply for STBG/TAP (Yearly)

d. **Product.** FY28-31 TIP, FY27-30 and FY28-31 TIP revisions as needed.

e. **Completion Date.**

- 1) Applications for inclusion in the TIP be available in September 2026 and will be due April 1st, 2027.
- 2) The draft FY27-30 TIP will be developed in May 2027 and submitted by June 15, 2027. The final FY27-30 TIP will be approved by July 15, 2027.

Position	Hours	Pct Allocation
Executive Director	21	10%
Transportation Planner	190	90%
Regional Planner	0	0%
Program Coordinator	0	0%
TIP Total	211	100%

5. Long Range Transportation Plan (LRTP)

- a. **Task Objective.** Conduct studies and collect information to be used to update the long-range plan and assist with implementing goals and objectives of the plan.
- b. **Previous Work.**
 - 1) The outline for the Regional Trail Plan for our RPA 15
 - 2) Assist City of Ottumwa and Wapello Co Trail Council for Oxbow Lagoon

Previously Identified projects not completed/Carried forward.

- a. Assisted City of New Sharon with STRIDE plan (Small Town Rural Improvement and Enhancement). Extended the application process for towns to apply.
- c. **Project Description.** Implementation of the Long-Range Transportation Plan will be from July 1, 2026, to June 30, 2027. This activity is expected to take 1,133 person hours to complete. The total cost for completing the activity is \$66,800.50. Elements of this activity will address challenges and priorities in section III related to [providing transportation planning assistance to members](#) and [implementing the long-range transportation plan](#) and include:

- 1) The transportation planner will have consultations with local jurisdictions and trails groups to determine the interest and feasibility in a regional trail.
- 2) The transportation planner will finalize the update to the Regional Trails Plan by finalizing the narrative of the findings and review/approve the Regional Trails.
 - a. Create the narrative for the SWOT analysis and survey findings
 - b. Review of the final draft with Stakeholders
 - c. Have the Regional Trail Plan reviewed by TAC and approved by Policy Board.
- 3) The transportation planner will provide technical assistance to local jurisdictions and transportation stakeholders as requested for:
 - a. Research, data gathering and mapping.
 - b. Grant assistance for Iowa DOT, other federal and state transportation related grants.
 - c. Participation and/or technical assistance in local or regional transportation groups.
- 4) The transportation planner will work with the TAC on implementing the LRTP. This will include maintaining an updated project list from projects identified in the LRTP with project status and creating an annual map of current projects by type or status.
- 5) The transportation planner will work with local communities on local transportation plans to identify issues and funding.
- 6) The transportation planner will create a Strategic Plan for New Sharon to help determine projects and priorities.
 - a. Hosted a virtual meeting, invited all communities eligible to apply, what the STRIDE is and how to apply
 - b. Providing technical assistance, on-site assessments, and data-driven recommendations.
 - c. Will include community visits, stakeholder engagement, GIS mapping, and the development of localized transportation improvement plans to enhance safety, accessibility, and connectivity in the selected community.
 - d. Will host a workshop session with the key stakeholders and survey for residents.

d. Product.

- 1) Stride Plan for New Sharon
- 2) Regional Trail Plan
- 3) LRTP Project status list and map.

e. Completion Date. The Regional Trails Plan Final by October 1st, 2026. STRIDE plan by February 1st, 2027. The project status list and map will be completed by June 2026 and then revised every year.

Position	Hours	Pct Allocation
Executive Director	193	17%
Transportation Planner	940	83%
Regional Planner	0	0%
Program Coordinator	0	0%
LRTP Total	1,133	100%

6. Passenger Transportation Plan (PTP)

- a. **Task Objective.** To implement the FY27 Passenger Transportation Planning process.
- b. **Previous Work.** FY25-FY26 TAG meetings, agendas, and minutes
- c. **Project Description.** Implementation of the Passenger Transportation Plan will be from July 1, 2026, to June 30, 2027. It is expected to take 184 person hours to complete. The total cost for completing PTP is \$10,874.50.

The transportation planner will coordinate and participate in at least two Transit Advisory Group meetings according to guidelines provided by Iowa DOT. These meetings will provide a review of previous efforts, current activities; identify future plans, and unmet needs. Information from these meetings will be used to develop the PTP, which will provide a review of previous efforts, current activities and services, identify future plans and unmet needs, and suggest improvement strategies.

The transportation planner will also participate in public transit agency, city, county and regional stakeholder meetings relating to public passenger transportation as requested and provide technical assistance to these groups.

Due to very low participation in Transit Advisory Group, RPA 15 will participate in Wapello County Healthy Communities and Mahaska County Kind meetings to bring awareness of transportation related issues and help develop the PTP.

- d. **Product.** 2026-27 Attend Wapello County Healthy Communities and Mahaska Kind Meetings, presenting Transportation related concerns and PTP awareness. Present about the PTP process and conduct Workshop session about public transit/transportation concerns. Provide minutes and agenda for the meetings.
- e. **Completion Date.** Attend at least 2 meetings of Kind/Healthy Communities in place of the Transit Advisory Group meeting before December 1st, 2026, and additional 2 meetings in place of Transit Advisory Group meeting before May 1st, 2027.

Position	Hours	Pct Allocation
Executive Director	18	10%
Transportation Planner	166	90%
Regional Planner	0	0%
Program Coordinator	0	0%
PTP Total	184	100%

VII. BUDGET AND FUNDING SOURCES

TASK COST (FUNDING SOURCE BREAKDOWN)										
TASK	FHWA STBG CARRYOVER	FHWA SPR CARRYOVER	FTA 5311 CARRYOVER	FHWA STBG	FHWA SPR	FTA 5311	TOTAL FEDERAL	RPA LOCAL	TOTAL COST	% Hours
1. TPWP	0.00	20.30	573.86	3,619.84	2,242.80	2,242.80	8,699.60	2,174.90	10,874.50	0.070 184
2. PPP	0.00	75.40	2,131.48	13,445.12	8,330.40	8,330.40	32,312.80	8,078.20	40,391.00	0.260 685
3. TIP	0.00	23.20	655.84	4,136.96	2,563.20	2,563.20	9,942.40	2,485.60	12,428.00	0.080 211
4. LRTP	0.00	124.70	3,525.14	22,236.16	13,777.20	13,777.20	53,440.40	13,360.10	66,800.50	0.430 1,133
5. PTP	0.00	20.30	573.86	3,619.84	2,242.80	2,242.80	8,699.60	2,174.90	10,874.50	0.070 184
6. Administration	0.00	26.10	737.82	4,654.08	2,883.60	2,883.60	11,185.20	2,796.30	13,981.50	0.090 237
TOTAL	0.00	290.00	8,198.00	51,712.00	32,040.00	32,040.00	124,280.00	31,070.00	155,350.00	1.000 2,634
<i>FHWA Statewide Planning & Research (SPR) program funding is transferred to FTA 5305e funding in a consolidated planning grant application.</i>										
<i>FHWA Surface Transportation Block Grant (STBG) program funding is transferred to FTA 5311 program funding in a separate FTA transfer grant application.</i>										

VIII. ADDITIONAL REQUIRED ITEMS

1. Cost Allocation Methodology

The Area 15 Regional Planning Commission Cost Allocation Plan, as approved by the Executive Board, is the basis of assigning costs equitably to all projects during each fiscal year. Under the plan the total costs assigned to each project are comprised of both direct and indirect costs. All direct and indirect costs are further identified as to personnel and non-personnel costs. The distinctions of cost allocation are described in the following definitions.

Definitions

- a. **Direct Personnel Costs** are costs of all personnel activities identifiable to specific projects. Examples of Direct Personnel Costs include personnel time spent on planning activities, administration of housing assistance and Community Development Block Grant Programs. (See Schedule A).
- b. **Direct Non-Personnel Costs** are the costs of non-personnel items or service clearly incurred by specific projects. Direct non personnel costs include project related items such as contracted services, mass mailings, project report publishing, reproductions, travel, supplies, reference materials, staff development, long-distance and conference telephone calls, advertising, memberships, and other costs similarly identifiable to specific projects. (See Schedule B).
- c. **Indirect Personnel Costs** are costs of all personnel activities that are not identifiable to specific projects but support all project activities. Examples of indirect Personnel Costs include personnel time spent on producing the Unified Work Program, policy meetings, and agency general and fiscal management. (See Schedule C). The RPS uses a provisional rate to determine indirect costs.
- d. **Indirect Non-Personnel Costs** are the costs of all non-personnel items or service that are not directly attributed to specific projects but rather are attributed to overall operations of the agency including all projects. Indirect non-personnel costs include such items as office rent, equipment rental, base telephone and overall agency long distance calls, postage, advertising, travel, staff development, insurance-bonds, office supplies, reproductions, publications, agency memberships, reference materials, agency audit, and other such costs similarly attributed to total agency and all project support. (See Schedule D).

Cost Allocation to Projects

Each project that is active during the fiscal year receives an allocation of costs as follows:

- A Direct Personnel costs for the month
- B Direct non-personnel costs for the month
- C A share of all indirect costs for the month

The monthly share of indirect costs charged to each project is determined by the ratio of each respective project direct personnel costs relative to the total direct personnel costs of all projects. Monthly timesheets are maintained by all agency personnel and serve as the basis for such allocations.

Supporting Data

Attached hereto are the following schedules that clarify all cost items embraced by the Cost Allocation Plan:

Schedule A - Direct Personnel Activities

- Transportation Planning and Grant Administration
- Transit Planning and Grant Administration
- Regional Development
- Housing Assistance Programs
- Community Development Block Grant Contract Administration
- Community Technical Assistance
- Contracted Services
- Business Growth Program Administration

Schedule B - Direct Non-Personnel

- Project Related Office Supplies
- Project Related Operating Materials, Books
- Project Related Conference and Training Expenses
- Project Related Business Expense,
- Project Related Printing and Binding of publications
- Project Related Insurance and Bonds
- Project Related Professional Memberships
- Project Related Telephone Expense
- Project Related Postage and Shipping
- Project Related Advertising
- Project Related Professional Services
- Project Related Equipment

Schedule C - Indirect Personnel Activities

- Unified Work Program
- Project Notification and Review
- Policy Meetings
- Filing and Library system
- Agency information Maintenance
- Agency Newsletter
- Agency Fiscal Management
- Agency General Management

Schedule D Indirect Non-Personnel

- Overall Agency Office Supplies

Overall Agency Materials, Books
Overall Agency Conference and Training Expenses
Overall Agency Business Expense,
Overall Agency Printing and Binding of publications
Overall Agency Insurance and Bonds
Overall Agency Professional Memberships
Base Telephone and Overall Agency Long Distance Services
Overall Agency Postage and Shipping
Overall Agency Advertising
Overall Agency Professional Services
Overall Agency Equipment/Depreciation

2. TPWP Revisions

Overview

2 CFR 200 describes the uniform administrative rules for Federal grants and cooperative agreements and subawards to State, local and Indian tribal governments. These requirements apply to metropolitan planning (PL) and State Planning and Research (SPR) grants. FTA has similar requirements and procedures documented in FTA Circular 5010.1E, which apply to all applicable FTA program grants. Iowa uses a Consolidated Planning Grant (CPG) where FHWA and FTA planning funds are combined into a single grant managed through FTA's TrAMS system. The uses of these funds are documented in the work programs of the Iowa DOT, MPOs, and RPAs. FTA is the lead agency administering the CPG.

Waiver of approvals

All work program changes require prior written Federal approval, unless waived by the awarding agency. 2 CFR § 200.308 outlines different types of revisions for budget and program plans, and this FHWA memo on prior approvals summarizes revisions that require prior Federal approval, as well as other miscellaneous actions and allowable costs that require prior Federal approval.

Types of TPWP revisions that require Federal approval include, but are not limited to, the following:

- Request for additional Federal funding.
- Transfers of funds between categories, projects, functions, or activities which exceed 10% of the total work program budget when the Federal share of the budget exceeds \$150,000.
- Revision of the scope or objectives of activities.
- Transferring substantive programmatic work to a third party (consultant).
- Capital expenditures, including the purchasing of equipment.
- Transfer of funds allotted for training allowances.

Types of revisions that require Iowa DOT approval include:

- Transfers of funds between categories, projects, functions, or activities which do not exceed 10% of the total work program budget, or when the Federal share of the budget is less than \$150,000.

Types of revisions that require MPO/RPA approval include:

- Revisions related to work that does not involve federal funding.

Revision and Approval Procedures

- All revision requests from MPOs and RPAs should be submitted electronically to the Iowa DOT Systems Planning Bureau. If all necessary information is provided, the request will then be forwarded to the FHWA and FTA for review and any necessary approvals.
 - Revision requests shall, at a minimum, include:
 - A letter detailing the work program revision(s)
 - A resolution or meeting minutes showing the revision's approval.
 - Budget summary table with changes highlighted/noted.
 - Amended work program with any modified section(s) highlighted.
- Revisions where **FHWA/FTA** is the designated approving agency shall require written approval by FHWA/FTA prior to commencement of activity, purchasing of equipment, or request for reimbursement.
- Revisions where the **Iowa DOT Systems Planning Bureau** is the designated approving agency shall require written approval by the Iowa DOT Systems Planning Bureau prior to commencement of activity or request for reimbursement.
- Revisions where the **MPO or RPA** is the approving agency shall be approved by the Policy Board.
- Notification by the approving agency will be in writing.

Revision Deadlines

- Amendments requiring federal approval must be approved prior to the start of Quarter 4 performance period (March 31, 2027).
- Amendments requiring an additional STBG transfer must be submitted prior to December 1, 2026. These additional transfers are only done in extreme circumstances.

NOTE: All necessary TPWP approvals shall be in place prior to the commencement of activity, purchasing of equipment, or request for reimbursement. More specifically, regarding the procurement of equipment and services, there should be no notification of award, signed contract, placement of an order, or agreement with a contractor prior to receiving the necessary TPWP approvals.

APPENDIX A – RECORD OF REVISIONS